IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Application of:)	•
Kenji KASAHARA)	Attn: Applications Branch
Based on U.S. Serial No. 09/406,793)	Group Art Unit: 2823
Which Was Filed: September 28, 1999)	Examiner: William D. Coleman
For: SEMICONDUCTOR APPARATUS HAVING)	
SEMICONDUCTOR CIRCUITS MADE OF)	
SEMICONDUCTOR DEVICES, AND)) ·
METHOD OF MANUFACTURING THEREOF	₹)) Date: November 4, 2003

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA. 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, each of the documents listed on the PTO-1449 Form were cited in parent application. The references listed on the PTO-1449 were cited in parent application. Serial No. 09/406,793 filed September 28, 1999 for the above-captioned patent application.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380 (740756-2657).

Respectfully submitted,

Bv:

Jeffrey L. Costellia

Registration No. 35,483

NIXON PEABODY LLP 401 9th Street N.W. Suite 900 Washington, DC 20004-2128 (202) 585-8000 JLC/kbd

Substitute for form 1449A/PTO		Complete if Known			
INFORMATION DISCLOSURE				Application Number	Divisional Application based on USSN: 09/406,793
STATEMENT BY APPLICANT		Filing Date	November 4, 2003		
	(use as many sheets o	as necesso	ry)	First Named Inventor	Kenji KASAHARA
				Art Unit	2823
				Examiner Name	William D. Coleman
Sheet	i of 2		Attorney Docket Number	740756-2657	

			U.S. PATENT DOCUM	ENTS	
Examiner Initials	Cite No.1	U.S. Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
		Number - Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-4,918,504	04/17/1990	Kato et al.	
		US-4,951,601	08/28/1990	Maydan et al.	
		US-5,130,264	07/14/1992	Troxell et al.	
		US-5,147,826	09/15/1992	Liu et al.	
		US-5,198,377	03/30/1993	Kato et al.	
		US-5,317,433	05/31/1994	Miyawaki et al.	
		US-5,583,369	12/10/1996	Yamazaki et al.	
		US-5,633,182	05/27/1997	Miyawaki et al.	
		US-5,696,386	12/09/1997	Yamazaki	
		US-5,773,331	06/30/1998	Solomon et al.	

		FC	PREIGN PATENT DO	CUMENTS		
Examiner Initials	Cite No. ¹	Foreign Patent Document Kind Code ³ Country Code ³ Number ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	16
	1	JP 9-148582	06-06-1997		Abstract only	
	-	JP 8-330602	12-13-1996		Abstract only	
,		JP 9-116156	05-02-1997		Abstract only	
=		JP 63-31108	02-09-1988		Abstract only	
	1	JP 9-312260	12-02-1997		Abstract only	
		EP 0 545 694 A2	06-09-1993			
		EP 0 862 201 A2	09-02-1998			
		OTHER PRIOR	ART – NON PATENT LI	TERATURE DOCUMENTS	<u>.</u>	
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (Initials No.! item (book, magazine, journal, serial, symposium, catalog, etc.)., date, pag publisher, city and/or country where publishe						T ²
U.S. Related Patent Application Serial No. 08/223,823, filed April 6, 1994; "Thin Film Transistors Having Anodized Metal Films Between the Gate Wiring and Drain Wiring" Shunpei YAMAZAKI et al.						

Examiner	Date	
Signature	Considered	·

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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(use as many sheets as necessary)		Filing Date	November 4, 2003		
		First Named Inventor	Kenji KASAHARA		
		Art Unit	2823		
		Examiner Name	William D. Coleman		
Sheet	2	of	2	Attorney Docket Number	740756-2657

	U.S. PATENT DOCUMENTS							
Examiner Initials	er Cite No. 1 Number - Kind Code ² (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US-5,834,797	11-10-1998	Yamanaka				
		US-5,888,858	03-30-1999	Yamazaki et al.				
		US-5,923,963	07-13-1999	Yamanaka				
		US-5,946,561	08-31-1999	Yamazaki et al.				
		US-5,985,740	11-16-1999	Yamazaki et al.				
		US-6,004,831	12-21-1999	Yamazaki et al.				
		US-6,150,241	11-21-2000	Deleonibus				
		US-6,177,302	01-23-2001	Yamazaki et al.				
		US-6,261,877	07-17-2001	Yamazaki et al.				
		US-		T				

		FO	REIGN PATENT D	OCUMENTS				
Examiner Initials*	Cite No. ¹	Foreign Patent Document Kind Code ⁵ Country Code ³ Number ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6		
		OTHER PRIOR A	RT – NON PATENT L	ITERATURE DOCUMENTS				
Examiner Initials	Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.					
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Examiner		Date		
Signature		Considered		

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